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10/814,906	03/31/2004	Ciprian Chelba	M61.12-0611	6325

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EXAMINER

VO, HUYEN X

ART UNIT	PAPER NUMBER
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2626

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/814,906

Applicant(s)

CHELBA ET AL.

Examiner

Huyen X. Vo

Art Unit

2626

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 31 March 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-16 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-16 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 31 March 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- ☒ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☒ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date 1 sheet.
- ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- ☐ Notice of Informal Patent Application
- ☐ Other: _____

DETAILED ACTION

Claim Rejections - 35 USC § 101

1. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

2. Claims 12-16 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

3. Claims 12-16 are drawn to a "program" *per se* as recited in the preamble (*computer-readable medium defined in the specification as communication media, which is further comprising carrier waves*) and as such is non-statutory subject matter. See MPEP § 2106.IV.B.1.a. Data structures not claimed as embodied in computer readable media are descriptive material *per se* and are not statutory because they are not capable of causing functional change in the computer. See, e.g., *Warmerdam*, 33 F.3d at 1361, 31 USPQ2d at 1760 (claim to a data structure *per se* held nonstatutory). Such claimed data structures do not define any structural and functional interrelationships between the data structure and other claimed aspects of the invention, which permit the data structure's functionality to be realized. In contrast, a claimed computer readable medium encoded with a data structure defines structural and functional interrelationships between the data structure and the computer software and hardware components which permit the data structure's functionality to be realized, and is thus statutory. Similarly, computer programs claimed as computer listings *per se*, i.e., the descriptions or expressions of the programs are not physical "things." They are

neither computer components nor statutory processes, as they are not "acts" being performed. Such claimed computer programs do not define any structural and functional interrelationships between the computer program and other claimed elements of a computer, which permit the computer program's functionality to be realized.

Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless – (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Claims 1-16 are rejected under 35 U.S.C. 102(b) as being anticipated by Crespo et al. (IEEE Publication from IDS).

6. Regarding claim 1, Crespo et al. disclose a method of adapting an n-gram language model for a new domain, the method comprising:

receiving background data indicative of general text phrases not directed to the new domain (*large text database in the left branch in figure 2, page 825, or referring to step 1 in left column, page 825*);

receiving a set of semantic entities used in the new domain and organized in classes (*task-dependent sentences in the right branch in figure 2, page 825 or referring to steps 2-3 in left column, page 825*);

generating background n-gram class count data based on the background data and the semantic entities and classes thereof (*referring to steps 1-4 in left column, page 825*); and

training a language model based on the background n-gram class count data (*referring to steps 2-4 in left column, page 825, for used by speech/word recognizer*).

7. Regarding claim 12, Crespo et al. disclose a computer-readable medium having computer-executable instructions for performing steps to generate a language model, the steps comprising:

receiving a set of semantic entities used in a selected domain and organized in classes (*task-dependent sentences in the right branch in figure 2, page 825 or referring to steps 2-3 in left column, page 825*);

receiving background n-gram class count data correlated to classes of the set of semantic entities and based on background data indicative of general text (*large text database in the left branch in figure 2, page 825, or referring to step 1 in left column, page 825*);

receiving adaptation n-gram class count data correlated to classes of the set of semantic entities and based on adaptation data indicative of a selected domain to be modeled (*referring to steps 1-4 in left column, page 825*); and

training a language model based on the background n-gram class count data, the adaptation n-gram class count data and the set of semantic entities (*referring to steps 2-4 in left column, page 825, for used by speech/word recognizer*).

8. Regarding claim 2, Crespo et al. further disclose the method of claim 1 and further comprising: receiving adaptation data indicative of text phrases used in the new domain (*task-dependent sentences in the right branch in figure 2, page 825 or referring to steps 2-3 in left column, page 825*); generating adaptation n-gram class count data based on the adaptation data and the semantic entities and classes thereof (*task-dependent sentences in the right branch in figure 2, page 825 or referring to steps 2-3 in left column, page 825*); and wherein training the language model comprises training based on the background n-gram class count data and the adaptation n-gram class count data (*task-dependent sentences in the right branch in figure 2, page 825 or referring to steps 2-3 in left column, page 825*).

9. Regarding claim 3, Crespo et al. further disclose the method of claim 2 and further comprising: generating background n-gram word data based on the background n-gram class count data and the semantic entities and classes thereof (*large text database in the left branch in figure 2, page 825, or referring to step 1 in left column, page 825*); generating adaptation n-gram word data based on the adaptation n-gram class count data and the semantic entities and classes thereof (*task-dependent sentences in the right branch in figure 2, page 825 or referring to steps 2-3 in left column, page 825*); and wherein training the language model based on the background n-gram class count data and the adaptation n-gram class count data comprises using

background n-gram word data and adaptation n-gram word data (*adapting LM using result of right branch and result of left branch*).

10. Regarding claims 4-7, Crespo et al. further disclose the method of claim 3 wherein generating background n-gram word data comprises generating background n-gram word data for multi-word semantic entities with each data entry comprising a selected number of words (*left column, page 825*), wherein generating adaptation n-gram word data comprises generating adaptation n-gram word data for multi-word semantic entities with each data entry comprising a selected number of words (*left column, page 825*), wherein generating background n-gram class count data based on the background data and the semantic entities and classes thereof comprises tagging word level background data based on the semantic entities and classes thereof (*tagger in figure 2*), wherein generating adaptation n-gram class count data based on the adaptation data and the semantic entities and classes thereof comprises tagging word level adaptation data based on the semantic entities and classes thereof (*tagger in figure 2*).

11. Regarding claims 8-11, Crespo et al. further disclose the method of claim 6 wherein generating background n-gram class count data based on the background data and the semantic entities and classes thereof comprises counting unique class level n-grams of the tagged background data (*language model adaptation section on page 825 and/or figure 2*), wherein generating adaptation n-gram class count data based on the

adaptation data and the semantic entities and classes thereof comprises counting unique class level n-grams of the tagged adaptation data (*language model adaptation section on page 825 and/or figure 2*), wherein generating background n-gram class count data based on the background data and the semantic entities and classes thereof comprises discarding some class n-grams from the tagged background data (*language model adaptation section on page 825 and/or figure 2*), wherein generating adaptation n-gram class count data based on the adaptation data and the semantic entities and classes thereof comprises discarding some class n-grams from the tagged adaptation data (*language model adaptation section on page 825 and/or figure 2*).

12. Regarding claims 13-16, Crespo et al. further disclose the computer-readable medium of claim 12 wherein training the language model comprises computing background word count data based on the background n-gram class count data and the set of semantic entities (*language model adaptation section on page 825 and/or figure 2*), wherein training the language model comprises computing adaptation word count data based on the adaptation n-gram class count data and the set of semantic entities (*language model adaptation section on page 825 and/or figure 2*), wherein training the language model comprises smoothing the n-gram relative frequencies (*within scope of the reference*), and wherein smoothing comprises using a deleted-interpolation algorithm (*within scope of the reference*).

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Ramaswamy et al. (US 6188976) is considered pertinent to the claimed invention.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Huyen X. Vo whose telephone number is 571-272-7631. The examiner can normally be reached on M-F, 9-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick Edouard can be reached on 571-272-7603. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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8/14/2007

